The 2007
Business Ethics and Compliance Conference
Creating (and Measuring) a Culture of Integrity

Sponsored by:

Hotel del Coronado    The Waldorf=Astoria
San Diego, CA          New York, NY

Pre-Conference Seminars:
March 14, 2007 and April 25, 2007
Seminar I: Building and Managing an Effective Ethics and Compliance Program
Seminar II: Effective Training: Implementing and Measuring

Join senior corporate executives, ethics and compliance practitioners, and scholars to examine emerging best practices. Topics to be discussed include:

• integration of ethics and compliance across business functions,
• measuring and assessing the effectiveness of ethics and compliance efforts,
• risk assessments that inform and shape elements of the ethics and compliance program,
• updates on critical compliance areas including antitrust, confidential information and business intelligence, and anti-corruption.
About The Conference Board
The Conference Board is the world’s leading business membership organization, with a global network of close to 2,000 enterprises in nearly 60 countries.

The Conference Board creates and disseminates knowledge about management and the marketplace to help businesses strengthen their performance and better serve society.

Working as a global, independent membership organization in the public interest, we conduct research, convene conferences, make forecasts, assess trends, publish information and analysis, and bring executives together to learn from one another.

The Conference Board is a not-for-profit organization and holds 501(c)(3) tax-exempt status in the United States.

How Our Meetings Are Different
The Conference Board provides executives from around the world with opportunities to share practical business experience. This focus on actual business experience, rather than theory, primarily from senior executives from major organizations, along with a superior level of networking with peers are the distinguishing features of Conference Board meetings.

The Conference Board’s meetings are rated as one of America’s leading speaking platforms for top management. More than 150 CEOs address the Board’s 12,000 meeting participants each year.
Dear Colleague:

Now that ethics and compliance management is in its third decade as a field, the primary goal for each organization’s leadership has become clear: to create a culture of integrity – a culture in which all employees know that doing the right thing is what’s expected of them.

Implementing a program that achieves this objective can be difficult. To help, we have organized this year’s conference around three key themes. Integration – since ethics and compliance fails if isolated, sessions will explore how to more fully involve HR, Audit and line management. Measurement – the field of ethics and compliance is now mature enough to have a track record of successes and failures. Sessions will assess metrics in the areas of governance, helplines, and risk processes. Compliance Risk Areas – antitrust, whistle-blowing, business intelligence and anti-corruption will receive special attention this year, with an emphasis on approaching these issues more effectively by integrating compliance with the creation of a culture of ethics and integrity.

As always, Conference Board sessions are led by – and attended by – experienced professionals with practical experience to share. We look forward to you joining us at either location, in New York City or San Diego, California. Please don’t hesitate to contact us about either conference location with questions or comments. To register, please call Customer Service at (212) 339-0345.

Sincerely,

Steve Priest  
Conference Program Director  
The Conference Board

Ed Petry  
Conference Program Director  
The Conference Board
Pre-Conference Seminars

Wednesday, March 14, 2007 – San Diego
Wednesday, April 25, 2007 – New York

Seminar I: 9 am - 5 pm
Building and Managing an Effective Ethics and Compliance Program

Seminar II: 9 am - 5 pm
Effective Training: Implementing and Measuring

See page 12 for details.

Thursday, March 15, 2007 – San Diego
Thursday, April 26, 2007 – New York

Registration and Continental Breakfast: 8 - 9 am

Welcome and Introduction: 9 - 9:05 am

External Expectations: 2007 - 2010

General Session A: 9:05 - 10:15 am

Expectations of regulators, shareholder groups, NGOs, academics and the media have a major influence on corporate behavior. This panel convenes experts with insight from diverse fields to help ethics and compliance officers understand current trends and look around the corner to prepare for the future.

Speakers
Mary Beth Buchanan Honorable Sven Erik Holmes
United States Attorney Executive Vice Chair
Western District of Pennsylvania Legal & Compliance

Kirk O. Hanson Thomas Donaldson (San Diego) (New York)
University Professor and Mark O. Winkelman Professor
Executive Director Wharton School of Business
Markkula Center for Applied Ethics University of Pennsylvania
Santa Clara University

To register today, call Customer Service at

212 339 0345
Networking Refreshment Break: 10:15 - 10:45 am

Concurrent Sessions B1, B2 & B3: 10:45 am - 12 noon (Choose One)

**Integrating Human Resources with Ethics and Compliance**

Concurrent Session B1: 10:45 am - 12 noon

If creating or strengthening a culture of integrity is a goal, Human Resources must be not just an ally, but a partner. From hiring to firing, from performance reviews to promotions, HR people and processes are essential to successfully integrating ethics and compliance into the culture. Learn how great organizations integrate – and then measure for success.

Speakers

- **Joan Dubinsky**
  Ethics Officer
  International Monetary Fund

- **Mark Meister**
  Vice President & Chief Ethics Officer
  Lubrizol Corporation

**Effective Risk Assessment: Implementing and Measuring**

Concurrent Session B2: 10:45 am - 12 noon

The Sentencing Guidelines emphasize the importance of risk assessments in helping to shape every facet of your ethics and compliance program. But too often risk assessments fail to address international, reputational and cultural risks. This session illustrates several approaches to effective risk assessment and remediation.

Speakers

- **Jeff Kaplan (New York)**
  Partner
  Kaplan & Walker LLP

- **David Reid (New York)**
  Vice President, Ethics Director
  Texas Instruments

- **Linda Lipts (New York)**
  Director of Ethics & Compliance
  CenterPoint Energy, Inc

- **Rebecca Walker (San Diego)**
  Partner
  Kaplan & Walker LLP

- **Catherine Sazdanoff**
  Division Vice President
  Ethics & Compliance
  Abbott Laboratories

- **Steve Scarpino (San Diego)**
  Ethics & Compliance Officer
  NCR Corporation

Register Online

www.conference-board.org/ethics.htm
Compliance Focus: Fair Competition and Antitrust

Concurrent Session B3: 10:45 am - 12 noon

This session will bring participants up to date on recent developments in interpretation and enforcement of antitrust and fair competition laws around the world.

Speakers

Theodore L. Banks  Robert Langer
Associate General Counsel  Partner
Law Department  Wiggin & Dana
Kraft Foods, Inc.

Keynote Luncheon Session C: 12 noon - 1:30 pm

Concurrent Sessions D1, D2 & D3: 1:45 - 3 pm (Choose One)

Integrating Internal Audit with Ethics and Compliance

Concurrent Session D1: 1:45 - 3 pm

While the Sentencing Guidelines call for auditing and monitoring for ethics and compliance, many companies struggle with how to do so. This session will offer practical tips and techniques for working with Internal Audit to create operational excellence without bureaucracy.

Speakers

David Frishkorn  Elwyn Wood (San Diego)
Director, Business Ethics Office  Vice President, Audit Services
Xerox Corporation  Progress Energy Service Company

To register today, call Customer Service at 212 339 0345
Effective Global Programs: Implementing and Measuring

Concurrent Session D2: 1:45 - 3 pm

To be effective, your program must be practical and helpful to employees who are facing ethics and compliance issues. But how do you meet this challenge and maintain a relevant program when your company is global? Can you maintain uniform standards while addressing local concerns? How do you launch an effective global training program that respects regional differences? Do you have all the information you need from your colleagues around the globe to ensure your addressing the right risk areas? What are the best ways to provide advice and reporting channels for international employees?

Speakers

**Patricia Prince-Taggart (San Diego)**
Deputy Chief Compliance Officer
Computer Associates

**Elizabeth Maraist**
Manager
Ethics & Business Conduct
J. Ray McDermott S.A.

**Perry Minnis**
Director, Ethics & Compliance
Alcoa, Inc.

Compliance Focus: Confidential Information and Business Intelligence

Concurrent Session D3: 1:45 - 3 pm

This session will bring participants up to date on recent developments in interpretation and enforcement of laws affecting gathering or dissemination of business information.

Speaker

**Mark Calloway**
Partner
Alston & Bird LLP

Networking Refreshment Break: 3 - 3:30 pm

Register Online

www.conference-board.org/ethics.htm
Concurrent Sessions E1, E2 & E3: 3:30 - 4:45 pm (Choose One)

**Integrating Corporate Responsibility with Ethics and Compliance**

Concurrent Session E1: 3:30 - 4:45 pm

In Europe, the concept of business ethics typically encompasses corporate responsibility. This is much less the case in the United States. This session explores the drivers for convergence in the US, and describes how managing these activities together better enables companies to minimize risks and maximize opportunities.

- **Michael Levine (New York)**
  Partner
  Epstein, Becker & Green, P.C.

- **Phillip Rudolph**
  Vice President & General Counsel
  Ethical Leadership Group

- **John F. Sherman**
  Deputy General Counsel
  National Grid USA Service Company, Inc.

- **Julie M. Kane (New York)**
  Vice President, Ethics & Compliance
  Novartis Corporation

**Effective Ethics and Compliance Governance: Implementing and Measuring**

Concurrent Session E2: 3:30 - 4:45 pm

How do you know if your ethics and compliance effort is organized the right way, with the right number of the right people in the right positions? Are senior management and the Board prepared to face new major governance requirements? This session will provide information to help organizations assess their own structural effectiveness, and tools that will help improve it.

**Speakers**

- **Steve Priest**
  President
  Ethical Leadership Group

- **Victoria Sweeney**
  Principal
  Legal and Compliance Group
  KPMG LLP

To register today, call Customer Service at 212 339 0345
Compliance Focus: Whistle-blower Legislation, U.S. and Europe

Concurrent Session E3: 3:30 - 4:45 pm

This session will bring participants up to date on recent developments in interpretation and enforcement of whistle-blower legislation in the US and Europe.

Moderator
Dennis Muse
Chief Executive Officer
Global Compliance

Speakers
Donato Giordano
Global Compliance Officer
Computer Associates

Rick Wolf *(New York)*
Founder and Managing Partner
Lexakos LLC

Paul Melihercik
Vice President
Employee Relations
DHL Express

Networking Cocktail Reception: 5 - 6:30 pm

Hosted by:
Friday, March 16, 2007 – San Diego
Friday, April 27, 2007 – New York

Continental Breakfast: 7:30 - 8:30 am

Keynote Address

General Session F: 8:30 - 9:45 am

Networking Break: 9:45 - 10 am

Concurrent Sessions G1 & G2: 10 - 11:15 am (Choose One)

Effective Helplines: Implementing and Measuring

Concurrent Session G1: 10 - 11:15 am

Which of the following commonly heard management responses is correct: “Thankfully, we don’t get many calls,” or “It is great that we are receiving so many calls”? How do you know? This session provides metrics to gauge the effectiveness of your upward reporting system, and techniques for Helpline improvement.

Speakers
Carrie Penman  Angeli Weller
Vice President  Director, Ethics
Ethical Leadership Group  KPMG LLP

Compliance Focus: Bribery, FCPA, and other Anti-Corruption Legislation

Concurrent Session G2: 10 - 11:15 am

This session will bring participants up to date on recent developments in interpretation and enforcement of anti-corruption laws around the world.

Moderator
Ronald Berenbeim
Principal Researcher
Global Corporate Citizenship
The Conference Board

To register today, call Customer Service at 212 339 0345
Integrating Line Management with Ethics and Compliance

General Session H: 11:15 am - 12:15 pm

Ethics and compliance professional agree that the key to success is getting line managers to own ethics and compliance. Easily said, but difficult to do. This session will showcase creative and practical ways for line management to own ethics and compliance.

Speakers

**Ken Block** *(San Diego)*  
Director  
Business Ethics & Compliance  
Space & Airborne Systems  
Raytheon Company

**Odell Guyton** *(San Diego)*  
Compliance Director  
Law & Compliance Affairs  
Microsoft Corporation

**Patricia Ellis** *(New York)*  
Vice President  
Ethics & Compliance  
Raytheon Company

**Ed Petry**  
Vice President  
Ethical Leadership Group

Closing Summary and Conclusions: 12:15 - 12:30 pm

Adjournment: 12:30 pm
Pre-Conference Seminars

Wednesday, March 14, 2007 – San Diego
Wednesday, April 25, 2007 – New York

Registration and Continental Breakfast: 8 - 9 am

Building and Managing an Effective Ethics and Compliance Program

Seminar I: 9 am - 5 pm*

This interactive, all day session is for attendees new to the field of ethics and compliance. Experienced ethics professionals will share their experience and address such topics as:

- Getting Started – Initial Considerations, Gaining Support
- Risk Assessment
- Oversight and Effective Leadership
- Communications and Training
- Helplines, Investigations and Discipline
- Monitoring and Program Assessment
- Building a Culture to Support Ethics and Compliance

*Limited to first 40 registrants.

San Diego
Speakers
Matt Frank
Director of Ethics & Business Conduct
The Boeing Company

Ed Petry
Vice President
Ethical Leadership Group

José A. Tabuena
Center for Corporate Governance
Deloitte & Touche USA LLP

New York
Speakers
Matt Frank
Director of Ethics & Business Conduct
The Boeing Company

Linda Lipps
Director of Ethics & Compliance
CenterPoint Energy, Inc

Tim Lupfer
Senior Manager
Deloitte Consulting LLP

To register today, call Customer Service at www.conference-board.org/ethics.htm
Effective Training: Implementing and Measuring

Seminar II: 9 am - 5 pm*

The Sentencing Guidelines call for training that is “effective” and “appropriate.” What does this mean? How do you keep training fresh? How do you assess if your efforts are working?

In this day-long session, you will hear from colleagues and training experts about creative training approaches and methods to measure effectiveness. Best practices will be showcased and questions, including the following, will be discussed in-depth: What should you be including in your new employee orientation? In your annual training? How do you engage line management in helping to deliver the message? What should training for your Board and Senior Management cover? How should new technologies be used and what are some of the mistakes others have made that you can avoid?

San Diego  
Speakers  
Carrie Penman  
Vice President  
Ethical Leadership Group  
Julie Murray  
Director, Strategy & Development  
Wal-Mart Stores, Inc.  
Nancy Heebsh  
Manager, Business Integrity  
Marathon Oil Corporation

New York  
Speakers  
Mary Bennett  
Vice President  
Ethical Leadership Group  
Julie Murray  
Director, Strategy & Development  
Wal-Mart Stores, Inc.  
Nancy Heebsh  
Manager, Business Integrity  
Marathon Oil Corporation

Register Online

www.conference-board.org/ethics.htm
Pre-Conference Seminars

John Santangelo  
Assistant Director  
Compliance & Training  
Monsanto Company

Carla Rios  
Ethics and Compliance Office,  
Training Manager  
Southern California Edison

John Santangelo  
Assistant Director  
Compliance & Training  
Monsanto Company

Jeff Benjamin  
Vice President and  
General Counsel  
Novartis Corporation

To register today, call Customer Service at 212 339 0345
The 2007 Ethics and Compliance Conference

**Online**  www.conference-board.org/ethics.htm

**By Phone**  Call Customer Service at 212 339 0345
               8 am to 6 pm ET Monday through Friday

**By Fax**  Complete the registration form on the back and fax to:
            212 836 9740

**By Mail**  Complete the registration form on the back and mail to:
             The Conference Board, Inc.
             P.O. Box 4026, Church Street Station
             New York, NY 10261-4026

**Cancellation Policy**
Full refund until three weeks before the meeting. $250 administration fee up to two weeks before the meeting. No refund later than two weeks before the meeting. Confirmed registrants who fail to attend and do not cancel prior to the meeting will be charged the entire registration fee.

**Team Discounts per person**
For a team of two or more registering from the same company at the same time, take another $100 off each person’s registration.

**Hotel Accommodations**
Fees do not include hotel accommodations. For reservations, contact the hotel directly no later than the cut-off date and mention The Conference Board’s Ethics and Compliance Conference.

<table>
<thead>
<tr>
<th>Hotel</th>
<th>Address</th>
<th>Phone</th>
<th>Fax</th>
<th>Cut-off Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hotel del Coronado</td>
<td>Coronado, CA 92118</td>
<td>Tel: 800 468 3533</td>
<td>Fax: 619 522 8238</td>
<td>Tuesday, February 20, 2007</td>
</tr>
<tr>
<td>The Waldorf=Astoria</td>
<td>New York, NY 10022</td>
<td>Tel: 212 355 3000</td>
<td>Fax: 212 872 7272</td>
<td>Tuesday, April 3, 2007</td>
</tr>
</tbody>
</table>

**Unconditional Guarantee**
For 90 years, The Conference Board has been providing senior executives worldwide with opportunities to share practical business experience. If for any reason you are not satisfied with this conference, please let us know. We will immediately credit your attendance to another conference of your choice, or, if you prefer, promptly refund 100% of your registration fee.
Registration Form

The 2007 Ethics and Compliance Conference (Choose One)
- March 15 - 16, 2007 (Hotel del Coronado, San Diego, CA) (#931007-4)
- April 26 - 27, 2007 (The Waldorf=Astoria, New York, NY) (#903007-4)

Pre-Conference Seminars (Choose One)
- Seminar I: Building and Managing an Effective Ethics and Compliance Program
  - March 14, 2007 (#B68007-4)
  - April 25, 2007 (#B71007-4)
- Seminar II: Effective Training: Implementing and Measuring
  - March 14, 2007 (#B69007-4)
  - April 25, 2007 (#B74007-4)

Please print or attach a business card; for additional registrants, duplicate this form.

Name ____________________________________________________________________
Title _____________________________________________________________________
Functional Area ____________________________________________________________
Company _________________________________________________________________
Address ___________________________________________________________________
City _______________________________________ State ___________ Zip ___________
Telephone (        ) ________________________ Fax (        ) __________________________
E-mail ____________________________________________________________________

Payment
Check payable to The Conference Board for $_________________________________.
Charge to my: □ American Express □ Discover □ MasterCard □ Visa
Acct. No. ___________________________ Exp. Date ___________
Signature ___________________________ Date ___________

Please send me more information on Conference Board events.
(Do not send this form to confirm telephone registration.)
How do you wish to receive future conference promotions?
□ Mail  □ Fax  □ E-mail

Program subject to change.
February 2007