

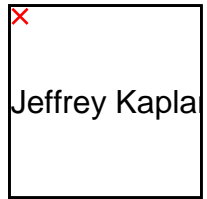
## Access to Experts

### Jeffrey M. Kaplan

Jeffrey M. Kaplan is a partner in the Princeton, New Jersey office of Kaplan & Walker, LLP. His practice includes developing, implementing and assessing compliance programs and conducting internal investigations for corporate boards and managers.

Kaplan is co-editor of *Compliance Programs and the Corporate Sentencing Guidelines* (West, 1993) and co-author of *The Prevention and Prosecution of Computer and High Technology Crime* (Matthew Bender, 1989). He is also co-publisher of *ethikos*, a bimonthly journal about compliance programs; Chair of the Legal Advisory Board of Midi, Inc., a developer of on-line training programs; and former Counsel to the Ethics Officer Association.

Kaplan, a graduate of Harvard Law School, is former Program Director of the Conference Board's annual Business Ethics Conference and chairs the continuing legal education program on corporate compliance for the Association of the Bar of the City of New York. He is also a frequent speaker on compliance and ethics issues at conferences sponsored by the Practising Law Institute and ALI-ABA and is Adjunct Professor in Markets, Ethics Law at NYU's Stern School of Business.



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## Publications by Jeffrey M. Kaplan

### Executive Action Report

- [Ethics and Compliance Enforcement Decisions – the Information Gap](#)  
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- [The Convergence of Principle- and Rule-Based Ethics Programs: An Emerging Global Trend?](#)  
14 March, 2007

### Research Report

- [Ethics Programs ... The Role of the Board: A Global Study](#)  
17 February, 2004