

Access to Experts



Stephen Cohen , Associate Director of the Division of Enforcement , U.S. Securities and Exchange Commission

Stephen Cohen is an Associate Director in the Securities and Exchange Commission's Division of Enforcement. In that capacity, Steve oversees a broad range of domestic and international enforcement activities, including investigations involving accounting fraud, insider trading, Foreign Corrupt Practices Act, registered and unregistered securities offerings, broker-dealers, investment advisers and other regulated entities. Steve rejoined the Division in 2011 after spending two years as Senior Advisor to SEC Chairman Mary Schapiro. In that capacity, he advised the Chairman concerning policy and administrative matters focusing mostly on Enforcement and Compliance issues, legislative matters, Congressional hearings, and coordination with other government agencies. Steve was intimately involved in various aspects of the Dodd-Frank Act, including substantial involvement with the whistleblower legislation and subsequent rulemaking. Prior to joining the Chairman's staff, Steve served as Assistant Chief Litigation Counsel in the Division of Enforcement. Steve has also worked in private practice and as a trial attorney at the US Department of Justice where he was part of the Attorney General's Honor's Program. He clerked for a federal judge in Miami and is a graduate of the University of Miami School of Law and Boston University.

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